

03-07-02

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As modified by Blakely, Sokoloff, Taylor & Zafman LLP

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-003

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 042390P11219

(maximum 12 characters)

First Named Inventor David A. Bottom et al.Title: MODULAR SERVER ARCHITECTURE WITH ETHERNET ROUTED ACROSS A BACKPLANE UTILIZING AN INTEGRATED ETHERNET SWITCH MODULEExpress Mail Label No. EL862050012US

ADDRESS TO: Assistant Commissioner for Patents
 Box Patent Application
 Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. X **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original and a duplicate for fee processing)
2. **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. X **Specification (Total Pages 20)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table,
or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. X **Drawings(s) (35 USC 113) (Total Sheets 11)**
5. X **Oath or Declaration (Total Pages 6)**
 - a. X Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 18 completed)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.
6. **Application Data Sheet. (37 CFR 1.76)**
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies

02/15/02

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ACCOMPANYING APPLICATION PARTS

9. ☒ **Assignment Papers (cover sheet & documents(s))**
 10. ☐ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
☐ b. Power of Attorney
 11. ☐ English Translation Document (if applicable)
 12. ☐ a. Information Disclosure Statement (IDS)/PTO-1449
☐ b. Copies of IDS Citations
 13. ☐ **Preliminary Amendment**
 14. ☒ **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
 15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
 16. ☐ **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
 17A. ☒ Other: separate title page with express mail certificate, copy of postcard and attorney's signature

- 17B. ☒ Pursuant to 37 C.F.R. 1.136(a)(3), applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 C.F.R. 1.16 and 1.17, to Deposit Account No. 02-2666.

18A. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet Under 37 C.F.R. 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)
 Of Prior Application No.: ☐ Examiner ☐ Group Art Unit ☐
 (which is a ☐ continuation/ ☐ divisional/ ☐ CIP of prior application no. ☐
 which is a ☐ continuation/ ☐ divisional/ ☐ CIP of prior application no. ☐ (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
 For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:
 The undersigned states that ☐ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. ☐ Frame No. ☐ (or a copy of which is attached).

19. Correspondence Address
☐ Customer Number or Bar Code Label ☐
 or ☐ (Insert Customer No. or Attach Bar Code Label here)
☒ Correspondence Address Below
 NAME Todd M. Becker
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
 ADDRESS 12400 Wilshire Boulevard
Seventh Floor
 CITY Los Angeles STATE California ZIP CODE 90025-1026
 Country U.S.A. TELEPHONE (206) 292-8600 FAX (206) 292-8606

Name (PRINT/TYPE): Todd M. Becker Registration No.: 43,487
 Signature: Todd M. Becker Date: 3-5-02

FEE TRANSMITTAL FOR FY 2002**TOTAL AMOUNT OF PAYMENT (\$)** \$906.00

Complete if Known:

Application No. Not Yet AssignedFiling Date HerewithFirst Named Inventor David A. Bottom et al.Group Art Unit Not Yet AssignedExaminer Name Not Yet AssignedAttorney Docket No. 042390P11219**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name _____

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed: ☒ Check
☐ Money Order
☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
101	740	201	370	Utility application filing fee	<u>\$740.00</u>
106	330	206	165	Design application filing fee	_____
107	510	207	255	Plant filing fee	_____
108	740	208	370	Reissue filing fee	_____
114	160	214	80	Provisional application filing fee	_____
SUBTOTAL (1)					<u>\$ 740.00</u>

2. EXTRA CLAIM FEES

		Extra Claims	Fee from below	Fee Paid
Total Claims	<u>27</u>	- 20** = <u>7</u>	X <u>\$18.00</u>	= <u>\$126.00</u>
Independent Claims	<u>3</u>	- 3** = <u>0</u>	X <u>\$84.00</u>	= <u>\$0.00</u>
Multiple Dependent				= _____

**Or number previously paid, if greater; For Reissues, see below.

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	_____
102	84	202	42	Independent claims in excess of 3	_____
104	280	204	140	Multiple dependent claim, if not paid	_____
109	84	209	42	**Reissue independent claims over original patent	_____
110	18	210	9	**Reissue claims in excess of 20 and over original patent	_____
SUBTOTAL (2)					<u>\$ 126.00</u>

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	_____
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	_____
139	130	139	130	Non-English specification	_____
147	2,520	147	2,520	For filing a request for ex parte reexamination	_____
099	8,800	099	8,800	Request for inter parties reexamination	_____
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	_____
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	_____
115	110	215	55	Extension for reply within first month	_____
116	400	216	200	Extension for reply within second month	_____
117	920	217	460	Extension for reply within third month	_____
118	1,440	218	720	Extension for reply within fourth month	_____
128	1,960	228	980	Extension for reply within fifth month	_____
119	320	219	160	Notice of Appeal	_____
120	320	220	160	Filing a brief in support of an appeal	_____
121	280	221	140	Request for oral hearing	_____
138	1,510	138	1,510	Petition to institute a public use proceeding	_____
140	110	240	55	Petition to revive - unavoidable	_____
141	1,280	241	640	Petition to revive - unintentional	_____
142	1,280	242	640	Utility issue fee (or reissue)	_____
143	460	243	230	Design issue fee	_____
144	620	244	310	Plant issue fee	_____
122	130	122	130	Petitions to the Commissioner	_____
123	50	123	50	Processing fee under 37 CFR 1.17(q)	_____
126	180	126	180	Submission of Information Disclosure Stmt	_____
581	40	581	40	Recording each patent assignment per property (times number of properties)	<u>\$40.00</u>
146	740	246	370	For filing a submission after final rejection (see 37 CFR 1.129(a))	_____
148	110	248	55	Statutory Disclaimer	_____
149	740	249	370	For each additional invention to be examined (see 37 CFR 1.129(b))	_____
179	740	279	370	Request for Continued Examination (RCE)	_____
169	900	169	900	Request for expedited examination of a design application	_____
195	300	195	300	Publication fee for early, voluntary, or normal pub.	_____
196	300	196	300	Publication fee for republication	_____
194	130	194	130	Request for voluntary publication or republication	_____
098	130	098	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	_____
091	1,280	091	1,280	Acceptance of unintentionally delayed claim for priority	_____
Other fee (specify) _____					_____
Other fee (specify) _____					_____
SUBTOTAL (3)					\$ 40.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:Typed or Printed Name: Todd M. BeckerSignature: Todd M. BeckerDate: 3-5-02Reg. Number: 43,487Telephone Number: (206) 292-8600

Our Reference: 042390P11219

Patent

MODULAR SERVER ARCHITECTURE WITH ETHERNET ROUTED ACROSS A
BACKPLANE UTILIZING AN INTEGRATED ETHERNET SWITCH MODULE

Inventors: David A. Bottom and Tim Harvey

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP



Todd M. Becker
Reg. No. 43,487

"Express Mail" mailing label number: EL862050012US

Date of Deposit: March 5, 2002

I hereby certify that I am causing this paper or fee to be deposited with
the United States Postal Service "Express Mail Post Office to Addressee"
service on the date indicated above and that this paper or fee has been
addressed to the Assistant Commissioner for Patents, Washington, D. C.
20231

Breigh Dippman

(Typed or printed name of person mailing paper or fee)

Breigh Dippman 3-5-02

(Signature of person mailing paper or fee)

(Date signed)

Serial/Patent No.: Not Yet Assigned

Filing/Issue Date: Herewith

Client: Intel

Title: MODULAR SERVER ARCHITECTURE WITH ETHERNET ROUTED ACROSS A
BACKPLANE UTILIZING AN INTEGRATED ETHERNET SWITCH MODULE

BSTZ File No.: 042390.P11219

Atty/Secty Initials: TMB:brd

Date Mailed: March 5, 2002

Docket Due Date: _____

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

☐ Amendment/Response (____ pgs.)

☐ Appeal Brief (____ pgs.) (in triplicate)

☒ Application - Utility (20 pgs., with cover and abstract)

☐ Application - Rule 1.53(b) Continuation (____ pgs.)

☐ Application - Rule 1.53(b) Divisional (____ pgs.)

☐ Application - Rule 1.53(b) CIP (____ pgs.)

☐ Application - Rule 1.53(d) CPA Transmittal (____ pgs.)

☐ Application - Design (____ pgs.)

☐ Application - PCT (____ pgs.)

☐ Application - Provisional (____ pgs.)

☒ Assignment and Cover Sheet

☒ Certificate of Mailing

☒ Declaration & POA (6 pgs.)

☐ Disclosure Docs & Orig & Copy of Inventor's Signed Letter (____ pgs.)

☒ Drawings: 11 # of sheets includes 11 figures

☒ Express Mail No.: EL862050012US ☒ Check No. 2049

☐ _____ Month(s) Extension of Time

Amt: \$906.00

☐ Information Disclosure Statement & PTO 1449 (____ pgs.)

☐ Check No. _____

☐ Issue Fee Transmittal

Amt: _____

☐ Notice of Appeal

☐ Petition for Extension of Time

☐ Petition for _____

☒ Postcard

☐ Power of Attorney (____ pgs.)

☐ Preliminary Amendment (____ pgs.)

☐ Reply Brief (____ pgs.)

☐ Response to Notice of Missing Parts

☐ Small Entity Declaration for Indep. Inventor/Small Business

☒ Transmittal Letter, in duplicate

☒ Fee Transmittal, in duplicate

☒ Other: *seperate title page with express mail certificate, copy of
postcard and attorney's signature